



PATENT

UNITED STATES PATENT AND TRADEMARK OFFICE

#6 1614  
JUN 25 2002  
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TECH CENTER 1600/2900

APPLICANT: POPP ET AL.-1 US

SERIAL NO: 10/059,774

FILED: JANUARY 30, 2002 GROUP: 1614

FOR: A PROCESS FOR THE ENZYMATIC PREPARATION OF ENANTIOPURE  
1,3-DIOXOLAN-4-ONE AND 1,3-OXATHIOLAN-5-ONE DERIVATIVES

SUBMISSION OF SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT  
OF DR. HOLGER POTTEN

ATT: BOX NON FEE AMENDMENT  
Assistant Commissioner for Patents  
Washington, D.C. 20231

Dear Sir:

Applicants wish to bring to the attention of the Patent Examiner the attached Supplemental Information Disclosure Statement, duly signed by Dr. Holger Potten, and the one (1) reference listed on the enclosed Form PTO-1449 and attached thereto.

The relevance of this reference is that it is cited in the European Search Report and may be material to the examination of the application.

Since this Supplemental Information Disclosure Statement is being filed prior to a first Office Action, it is believed that no fee is due. However, if it is determined that a fee is due, the

Commissioner is hereby authorized to charge our deposit account number 03-2468, without further authorization.

It is respectfully requested that this Supplemental Information Disclosure Statement be considered and placed into the application file.

Respectfully submitted,

POPP ET AL - 1 US



Allison C. Collard, Reg. No. 22,532  
Edward R. Freedman, Reg. No. 26,048  
Attorneys for Applicants

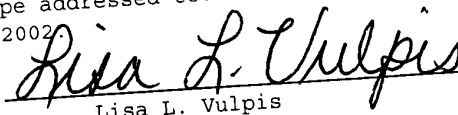
COLLARD & ROE, P.C.  
1077 Northern Boulevard  
Roslyn, New York 11576  
(516) 365-9802

ERF/mt

Enclosures:

PTO-form 1449 w/copy of one(1) reference.

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to: ASSISTANT COMMISSIONER OF PATENT-  
TS, Washington, D.C. 20231, on June 14, 2002.



Lisa L. Vulpis



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

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JUN 25 2002  
TECHCENTER 1600/2900

In re application of :  
POPP ET AL. – 1 US :  
Serial Number: 10/059,774 : Group Art Unit: 1614  
Filed: January 30, 2002 :  
For: A PROCESS FOR THE ENZYMATIC PREPARATION OF ENANTIOMERIC 1,3-DIOXOLAN-4-ONE AND 1,3-OXATHIOLAN-5-ONE DERIVATIVES:

Information Disclosure Statement

Honorable Commissioner of Patents and Trademarks  
Washington, D.C. 20231

Sir or Madam:

I, Holger Potten, associated with the preparation and prosecution of the above-identified application, residing at Neubiberger Straße 17a, 81737 München, Germany, wish to call the attention of the Patent Examiner to the references enumerated on the enclosed PTO Form-1449.

I believe the documents enumerated on the enclosed Form PTO-1449 and attached thereto, are cited in the enclosed European Search Report and may be material to the examination of the application.

Therefore, it is respectfully requested that the foregoing Information Disclosure Statement be considered by the Examiner and incorporated into the file of this application.

I wish to comment as follows concerning the prior art references enumerated on PTO Form-1449:

WO 00/22157, cited in the European Search Report, has already been cited in the application, and has been sent to you on March 25, 2002.

WO 91/11186, cited in the European Search Report, has already been cited in the application, and has been sent to you on March 25, 2002.

HOF R. P. ET AL., (17 May 1996) JOURNAL OF ORGANIC CHEMISTRY, AMERICAN CHEMICAL SOCIETY. EASTON, US, vol. 61, no. 10, pp. 3423 – 3427, cited in the European Search Report, is enclosed.

Signed this 5<sup>th</sup> day of June, 2002.

Dr. Holger Potten